Attorney/Docket No: USP2343C-DRSH

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Organic Ruffer for Organic Light-Emitting Device the specification of which is attached hereto unless the following box is checked: was filed on as United States Application Number or PCT International Application Number and was amended on (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56. I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s) Priority Claimed Yes No (Number) (Country) (Day/Month/Year Filed) Yes No (Number) (Country) (Day/Month/Year Filed) ☐ Yes ☐ No (Day/Month/Year Filed) (Number) (Country) I hereby claimed the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application. (Application Number) (Filing Date) (Status-patented, pending, abandoned) (Application Number) (Filing Date) (Status-patented, pending, abandoned) I hereby appoint the following attorney(s) and/or agent(s), with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Raymond Yat Chan, Reg. No. 37,484 Address all correspondence to: 108 N. Ynez Ave., Suite 128, Monterey Park, CA 91754. Telephone Calls to: (626) 571-9812 I hereby declare that all Statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full name of sole or first inventor (given name, family name) Xiao-Yuan Hou Inventor's signature Date Residence As follows Citizenship China Post Office Address No. 220, Handan Road, Fudan University, Shanghai, 200433 P.R.China Full name of second joint inventor, if any (given name, family name) Yi-Qiang Zhan

No. 220, Handan Road, Fudan University, Shanghai, 200433 P.R.China

Additional inventors are being named on separately numbered sheets attached hereto.

Second Inventor's signature

As follows

Residence

Post Office Address

Date

Citizenship

China

			USP2343C-DRS
Filed or Issued:		Docket No.:	
For:			
VERIFIED STA	ATEMENT (DECLARATION OF THE PROPERTY OF THE PR	ON) CLAIMING SMALL E INDEPENDENT INVENTO as an independent inventor in the second sec	OR as defined in 37 CFR
Organic Buffer La	ayer for Organic	Light-Emitting.	• • • described in:
the specification f application serial patent no.	iled herewith no, i	, filedssued	
I have not assigned, granted, assign, grant, convey or licen independent inventor under 3 would not qualify as a small CFR 1.9 (e). Each person, concern or orga an obligation under contract obelow:	se, any rights in the invention of CFR 1.9 (c) if that person business concern under 37 (c) initiation to which I have assistant of the control of the contr	n to any person who could no nad made the invention, or to CFR 1.9 (d) or a non profit or pried granted conveyed or l	ot be classified as an or any concern which rganization under 37
no such person, co person, concern or	oncern, or organization r organizations listed below*		
*NOTE: Separate veri having rights to the inv	fied statements are required fivention averring to their status	from each named person, cons s as small entities. (37 CFR 1.2	cern or organization 27)
FULL NAMEADDRESS			
UDDICESS	SMALL BUSINESS CO		RGANIZATION
FULL NAME			
ADDRESS			
	SMALL BUSINESS CO		RGANIZATION
FULL NAMEADDRESS			
	SMALL BUSINESS CO	NCERN NONPROFIT OF	RGANIZATION
oss of entitlement to small en	e, in this application or patentitity status prior to paying, or fter the date on which status a	at the time of paying, the ear	liest of the issue fee
I hereby declare that all staten on information and belief are knowledge that willful false s both, under section 1001 of T	nents made herein of my own e believed to be true; and fi statements and the like so m itle 18 of the United States (application, any patent issui	urther that these statements wade are punishable by fine of Code, and that such willful f	were made with the or imprisonment, or also statements may
Xiao-Yuan Hou	Yi-Qiang Zhan		
NAME OF INVENTOR	NAME OF INVENTO	OR NAME OF IN	VENTOR
Xiao Yuan HOU Signature of Inventor	Yi-Qiara Thom		
ignature of Inventor	Signature of Inventor	Signature of In	nventor
Dec. 10, 2003	Dec. 10, 20	3	
Jate	Date	Date	

Attorney Docket No.: USP2343C-

DRSH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:
Filing Date:
Serial No.:
Examiner:
Art Unit:
Title Organic Buffer Layer for Organic Light-Emitting Device and Producing Method Thereof
To: Commissioner of Patents P.O. Box 1450, Alexandria, VA22313-1450
POWER OF ATTORNEY BY ASSIGNEE
As a named assignee of the above identified application, I hereby appoint the following attorney(s)
and/or agent(s) to prosecute and transact all business in the Patent and trademark Office connected with the
above application:
Raymond Yat Chiu Chan, Reg. No. 37,484
Please Send Correspondence to:
Raymond Y. C. Chan 108 N. Ynez Avenue, Suite 128 Monterey Park, CA 91754
Please Direct Telephone Calls to: Raymond Y. C. Chan (626) 571-9812
NAME OF ASSIGNEE: Fudan University NAME OF PERSON SIGNING: Xiao-Yuan Hou TITLE: Director of Surface Physics Laboratory (National Key Laboratory) ADDRESS: No. 220, Handan Road, Fudan University, Shanghai, 200433 P.R.China
Xiao Yuan HOU Dac. 10, 2003 SIGNATURE DATE

Applicant or Patentee:	·	Attorney's Docket No.: USP2343C-DR	SH
Filed or Issued:			
For:			
VERIFII STA	ED STATEMENT (DECLARATION) CL TUS (37 CFR 1:9 (f) and 1.27 (c)) – SMALL	LAIMING SMALL ENTITY BUSINESS CONCERN	
the owner of the san official of the	I am small business concern identified below: small business concern empowered to act or	n behalf of the concern identified below:	
Name of Concern: Address of Concern:	Xiao-Yuan Hou : No. 220, Handan Road, Fudan University,	Shanghai, 200433 P.R.China	
defined in 13 CFR 12 section 41(a) and (b) including those of its of employees is the attime, part-time or ten affiliates of each other the other, or a third part the concern identified about 1 ight = Emittir	the above identified small business concer 21.3-18, and reproduced in 37 CFR 1.9 (d), of title 35, United States Code, in that affiliates, does not exceed 500 persons. For exergine over the previous fiscal year of the comporary basis during each of the pay perioder when either, directly or indirectly, one courty or parties concrols or has the power to crights under contract or law have been convove with regard to the invention entitied: Ong Device and Producing	for purposes of paying reduced fees under the number of employees of the concern, purposes of this statement, (1) the number concern of the persons employed on a full- ide of the fiscal year, and (2) concerns are concern controls or has the power of control control both. Theyed to and remain with the small business organic Buffer Layer for Method Thereof described in:	
the specifi	rication filed herewith on serial no. , fi	11	
application patent no.	on serial no, fi		
If the rights held by the	the above identified small business concern	are not exclusive, each individual, concern	
or organization having person, other than the	ng rights to the invention is listed below* and e inventor, who could not qualify as a small	d no rights to the invention are held by any I business concern under 37 CFR 1.9(d) or	
by any concern which	h would not qualify as a small business co	oncern under 37 CFR 1.9(d) or a nonprofit	
organization under 37	7 CFR 1.9(e). arate verified statements are required from e	ach named person, concern or organization	*
having rights t	to the invention averring to their status as sn	nall entities. (37 CFR 1.27)	
FULL NAME			
ADDRESS			- -
□INI	DIVIDUAL SMALL BUSINESS CONCERN	NONPROFIT ORGANIZATION	
FULL NAME			_
ADDRESS	DIVIDUAL SMALL BUSINESS CONCERN		_
_			
loss of entitlement to or any maintenance for	uty to file, in this application or patent, notile small entity status prior to paying, or at the ed due after the date on which status as a sm	time of paying, the earliest of the issue fee	
1.28 (b)) I hereby declare that:	all statements made herein of my own know	vledge are true and that all statements made	
on information and b	belief are believed to be true; and further	that these statements were made with the	
both, under section 1	ful false statements and the like so made a 1001 of Title 18 of the United States Code.	and that such willful false statements may	
jeopardize the validit	ty of the application, any patent issuing the	ereon, or any patent to which this verified	
statements is unected			
Name of Person Sign	ning: Xiao-Yuan Hou er than the Owner: Director of Surfa	ce Physics Laboratory (National Key	
Laboratory)	Signing: No.220.Handan Road, Fudan Univ		
Xiao Yua	n HOU	Dec. 10, 2003	
Signature		Date	

Applicant or Patentee: Serial or Patent No.:	Attorney's Docket No.: USP2343C-DRSH	
Filed or Issued:		
For:		
	(DECLARATION) CLAIMING SMALL ENTITY and 1.27 (d)) – NONPROFIT ORGANIZATION	
I hereby declare that I am an official embelow:	powered to act on behalf of the nonprofit organization identified	
Address: No. 220, Handan F	dan University Road, Fudan University, Shanghai, 200433 P.R.China	
☐ Nonprofit scientific or educ Name of State: ☐ Would qualify as tax exe 501(c)(3)) if located in the U	Revenue Service code (26 USC 501(a) and 501 (c)(3)). ational under statute of state of the United States of America. Statute: mpt under Internal Revenue Service code (26 USC 501(a) and United States of America.	
Would qualify as nonprofit of America if located in the Name of State:	scientific or educational under statute of state of the United States United States of America. Statute:	
I hereby declare that the above identifie organization as defined in 37 CFR 1.9 (e) title 35, United States Code.	d nonprofit organization identified above qualifies as a nonprofit for purposes of paying reduced fees under section 41(a) and (b) of	
organization identified above with regard Light-Emitting Device a Multiple specification filed berry	act or law have been conveyed to and remain with the nonprofit to the invention entitled: Organic Buffer Layer for and Producing Method Thereof described in:	Organic
application serial no.	, filed, issuednonprofit organization are not exclusive, each individual, concern	
as small entities and that no rights to the inveil as small entities and that no rights to the would not qualify as an independent u concern which would not qualify as a organization under 37 CFR 1.9(e). Each polisted below:	nonprofit organization are not exclusive, each individual, concern not in must file separate verified statements averring to their status e invention are held by any person, other than the inventor, who nder 37 CFR 1.9(c) if that person made the invention, or by any a small business concern under 37 CFR 1.9(d) or a nonprofit erson, concern or organization having any rights in the invention is ion. Each such person, cencern or organization as listed below:	
Full Name:	Each such person, centern of organization as nated below.	
Address: INDIVIDUAL SMA	LL BUSINESS CONCERN	
Full Name: Address:	LL BUSINESS CONCERN NON PROFIT ORGANIZATION	
See attached l acknowledge the duty to file, in this app	d sheet for additional person(s), concern(s) or organization(s) plication or patent, notification of any change in status resulting in	
or any maintenance fee due after the date 1.28 (b))	orior to paying, or at the time of paying, the earliest of the issue fee on which status as a small entity is no longer appropriate. (37 CFR	
on information and belief are believed knowledge that willful false statements a both, under section 1001 of Title 18 of the jeopardize the validity of the application	herein of my own knowledge are true and that all statements made to be true; and further that these statements were made with the and the like so made are punishable by fine or imprisonment, or he United States Code, and that such willful false statements may 1, any patent issuing thereon, or any patent to which this verified	
statements is directed.	ao-Yuan Hou	
Di	rector of Surface Physics Laboratory (National Key Laboratory) an University, Shanghai, 200433 P.R.China	
XIO YACA HOU	Dec. 10, 2003	
Signature	Date	